PATENT
Docket No.: 20837-007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:
JOHN C. HERMANSEN et al.

SERIAL NO.: 09/275,766   Group Art Unit: 2776

FILED: March 25, 1999   Examiner: Not yet assigned

FOR: SYSTEM AND METHOD FOR ADAPTIVE MULTI-CULTURAL SEARCHING AND
      MATCHING OF PERSONAL NAMES

Commissioner of Patents and Trademarks
Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Transmitted herewith for filing in the present application are the following documents:

1. Response to Notice to File Missing Parts with copy of Notice to File Missing Parts of Application and executed Combined Declaration and Power of Attorney for Patent Application attached;
2. Verified Statement (Declaration) Claiming Small Entity Staatus (37 C.F.R. 1.9(f) and 1.27(c)) Small Business;
3. Assignment Form Cover Sheet with executed Assignment attached;
4. Notice of Change of Correspondence Address and Attorney Docket Number; and
5. Two checks in the amounts of $445.00 and $40.00.

Our checks for $445.00 and $40.00 are enclosed covering any required fees. In the event any variance exists between the amount enclosed and the Patent and Trademark Office charges, please charge or credit the difference to our Deposit Account 50-0311, Reference No. 20837-007. A duplicate copy of this letter is enclosed for that purpose.

Express Mail No.: EK513654977US

Date Mailed: March 17, 2000

If the enclosed papers are considered incomplete, the Mail Room is respectfully requested to contact the undersigned at (703) 464-4803.
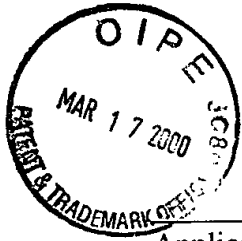

Date: March 17, 2000   Respectfully submitted,

Martin M. Zoltick
Registration No. 35,745
Mintz, Levin, Cohn, Ferris,
   Glovsky and Popeo, P.C.
11911 Freedom Drive, Suite 400
Reston, Virginia 20190
(703) 464-4803
Attorneys for Applicant

Restondocs:9557.1(7DH01!.DOC)

Attorney Docket No. 20837-007

IN THE UNITED STATES PATENT AND TRADEMARK

Applicant:	John C. Hermansen, et al.
Serial No.:	09/275,766
Filed:	March 25, 1999
For:	SYSTEM AND METHOD FOR ADAPTIVE MULTI-CULTURAL SEARCHING AND MATCHING OF PERSONAL NAMES
Examiner:	Not yet assigned
Art Unit:	2776

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C.

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

Responsive to the Notice To File Missing Parts mailed January 19, 2000, Applicant submits herein the statutory basic filing fee of $380.00, and payment of the surcharge for late filing ($65.00).

Date: March 17, 2000

Respectfully submitted,

Martin M. Zoltick, Reg. No. 35,745
Mintz, Levin, Cohn, Ferris,
 Glovsky and Popeo, P.C.
11911 Freedom Drive, Suite 400
Reston, Virginia 20190
703-464-4803
Fax: 703-464-4895
Attorneys for Applicant

Restondocs:9555.1(7DF01!.DOC)

Express Mail No.: EK513654977US
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/275,766	03/25/99	HERMANSEN	26308.010200

03221 71   03A27/0119

GREENBERG TRAURIG   NOT ASSIGNED
1750 TYSONS BOULEVARD 12TH FLOOR
TYSONS CORNER VA 22102

DATE MAILED:

01/19/00

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ $65.00 for a small entity in compliance with 37 CFR 1.27, or ☑ $130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ *small entity (statement filed)* ☑ *non-small entity is $_____.*

☑ 1. The statutory basic filing fee is:
    ☐ missing.
    ☐ insufficient.
    Applicant must submit $_____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of $_____, including any multiple dependent claim fees, are required.

    $_____ for _____ independent claims over 3.

    $_____ for _____ dependent claims over 20.

    $_____ for multiple dependent claim surcharge.
    Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☑ 3. The oath or declaration:
    ☑ is missing or unexecuted.
    ☐ does not cover the newly submitted items.
    ☐ does not identify the application to which it applies.
    ☐ does not include the city and state or foreign country of applicant's residence.
    An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.
    A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

    _____
    An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A $50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.
    See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☑ 9. OTHER: _____

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/21/2000 MHARMDL 00000084 09275766

01 FC:201   380.00 OP
02 FC:205   65.00 OP